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# Encoding logics in $\lambda\Pi/\mathcal{R}$

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## Encoding logics in $\lambda\Pi/\mathcal{R}$

we have seen what is a theory in the  $\lambda\Pi$ -calculus modulo rewriting:

- a signature mapping a number of symbols to their types
- a set of rewrite rules on those symbols

we are now going to see how to encode logics as  $\lambda\Pi/\mathcal{R}$  theories

# First-order logic

- the set of terms
  - built from a set of function symbols equipped with an arity
- the set of propositions
  - built from a set of predicate symbols equipped with an arity
  - and the logical connectives  $\top$ ,  $\perp$ ,  $\neg$ ,  $\Rightarrow$ ,  $\wedge$ ,  $\vee$ ,  $\Leftrightarrow$ ,  $\forall$ ,  $\exists$
- the set of axioms (the actual theory)
- the subset of provable propositions
  - using deduction rules (e.g. natural deduction)

## Natural deduction

provability,  $\vdash$ , is a relation between a sequence of propositions  $\Gamma$  (the assumptions) and a proposition  $B$  (the conclusion) inductively defined from introduction and elimination rules for each connective:

$$(\Rightarrow\text{-intro}) \frac{\Gamma, A \vdash B}{\Gamma \vdash A \Rightarrow B} \quad (\Rightarrow\text{-elim}) \frac{\Gamma \vdash A \Rightarrow B \quad \Gamma \vdash A}{\Gamma \vdash B}$$

$$(\forall\text{-intro}) \frac{\Gamma \vdash A \quad x \notin \Gamma}{\Gamma \vdash \forall x, A} \quad (\forall\text{-elim}) \frac{\Gamma \vdash \forall x, A}{\Gamma \vdash A\{(x, u)\}}$$

...

# Encoding of first-order logic

- the set of terms  $/ : \text{TYPE}$ 
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function symbol:  $/ \rightarrow \dots \rightarrow / \rightarrow /$

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function symbol:  $I \rightarrow \dots \rightarrow I \rightarrow I$
- the set of propositions  $Prop : \text{TYPE}$ 
  - built from a set of predicate symbols equipped with an arity  
predicate symbol:  $I \rightarrow \dots \rightarrow I \rightarrow Prop$

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  - and the logical connectives  $\top, \perp, \neg, \Rightarrow, \wedge, \vee, \Leftrightarrow, \forall, \exists$   
 $\top : Prop, \neg : Prop \rightarrow Prop, \forall : (I \rightarrow Prop) \rightarrow Prop, \dots$   
we use  $\lambda$ -calculus to encode quantifiers:  
we encode  $\forall x, A$  as  $\forall (\lambda x : I, A)$

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- the set of axioms (the actual theory)
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but how to encode proofs?



## Using $\lambda$ -terms to represent proofs (Curry-de Bruijn-Howard isomorphism)

by interpreting propositions as types ( $\Rightarrow/\rightarrow$ ,  $\forall/\Pi$ )

the natural deduction rules

$$(\Rightarrow\text{-intro}) \frac{\Gamma, \quad A \vdash \quad B}{\Gamma \vdash \quad A \Rightarrow B}$$

$$(\Rightarrow\text{-elim}) \frac{\Gamma \vdash \quad A \Rightarrow B \quad \Gamma \vdash \quad A}{\Gamma \vdash \quad B}$$

$$(\forall\text{-intro}) \frac{\Gamma \vdash \quad A \quad x \notin \Gamma}{\Gamma \vdash \quad \forall x, A}$$

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## Using $\lambda$ -terms to represent proofs (Curry-de Bruijn-Howard isomorphism)

by interpreting propositions as types ( $\Rightarrow/\rightarrow$ ,  $\forall/\Pi$ )

the natural deduction rules corresponds to **the typing rules of  $\lambda\Pi$** :

$$(\Rightarrow\text{-intro}) \quad \frac{\Gamma, x : A \vdash t : B}{\Gamma \vdash \lambda x : A. t : A \Rightarrow B}$$

$$(\Rightarrow\text{-elim}) \quad \frac{\Gamma \vdash t : A \Rightarrow B \quad \Gamma \vdash u : A}{\Gamma \vdash tu : B}$$

$$(\forall\text{-intro}) \quad \frac{\Gamma \vdash t : A \quad x \notin \Gamma}{\Gamma \vdash \lambda x. t : \forall x, A}$$

$$(\forall\text{-elim}) \quad \frac{\Gamma \vdash t : \forall x, A}{\Gamma \vdash tu : A\{(x, u)\}}$$

and **proof checking** is reduced to **type checking**

# Expliciting the Brouwer-Heyting-Kolmogorov interpretation

terms of type *Prop* are not types. . .

but we can interpret a proposition as a type by applying:

$$\text{Prf} : \text{Prop} \rightarrow \text{TYPE}$$

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$$\lambda x : \textit{Prf} \ A, x \quad : \quad \textit{Prf} \ A \rightarrow \textit{Prf} \ A$$

and

$$\lambda x : \textit{Prf} \ A, x \quad \not/ \quad \textit{Prf} (A \Rightarrow A)$$

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unless we add the rewrite rule:

$$\text{Prf}(A \Rightarrow B) \quad \hookrightarrow \quad \text{Prf } A \rightarrow \text{Prf } B$$

## Encoding $\Rightarrow$

because  $\text{Prf}(A \Rightarrow B) \hookrightarrow \text{Prf } A \rightarrow \text{Prf } B$

the introduction rule for  $\Rightarrow$  is the abstraction:

$$\begin{array}{l} (\Rightarrow\text{-intro}) \frac{\Gamma, A \vdash B}{\Gamma \vdash A \Rightarrow B} \qquad \begin{array}{l} (\text{abs}) \frac{\Gamma, x : \text{Prf } A \vdash t : \text{Prf } B}{\Gamma \vdash \lambda x : A, t : \text{Prf } A \rightarrow \text{Prf } B} \\ (\text{conv}) \frac{\Gamma \vdash \lambda x : A, t : \text{Prf } A \rightarrow \text{Prf } B}{\Gamma \vdash \lambda x : A, t : \text{Prf}(A \Rightarrow B)} \end{array} \end{array}$$

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the elimination rule for  $\Rightarrow$  is the application:

$$\begin{array}{l} (\Rightarrow\text{-elim}) \quad \frac{\Gamma \vdash A \Rightarrow B \quad \Gamma \vdash A}{\Gamma \vdash B} \\ \\ (\text{app}) \quad \frac{\begin{array}{l} (\text{conv}) \quad \frac{\Gamma \vdash t : \text{Prf}(A \Rightarrow B)}{\Gamma \vdash t : \text{Prf } A \rightarrow \text{Prf } B} \quad \Gamma \vdash u : \text{Prf } A \end{array}}{\Gamma \vdash tu : \text{Prf } B} \end{array}$$

## Encoding $\forall$

we can do something similar for  $\forall : (I \rightarrow Prop) \rightarrow Prop$  by taking:

$$\mathit{Prf}(\forall A) \quad \hookrightarrow \quad \Pi x : I, \mathit{Prf}(Ax)$$



## Encoding the other connectives

the other connectives can be defined by using a meta-level quantification on propositions:

$$\textit{Prf}(A \wedge B) \quad \hookrightarrow \quad \prod C : \textit{Prop}, (\textit{Prf} A \rightarrow \textit{Prf} B \rightarrow \textit{Prf} C) \rightarrow \textit{Prf} C$$

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introduction and elimination rules can be derived:

( $\wedge$ -intro):

$\lambda a : \mathit{Prf} A, \lambda b : \mathit{Prf} B, \lambda C : \mathit{Prop}, \lambda h : \mathit{Prf} A \rightarrow \mathit{Prf} B \rightarrow \mathit{Prf} C, hab$   
is of type  
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( $\wedge$ -elim1):

$\lambda c : \mathit{Prf}(A \wedge B), c A (\lambda a : \mathit{Prf} A, \lambda b : \mathit{Prf} B, a)$   
is of type  
 $\mathit{Prf}(A \wedge B) \rightarrow \mathit{Prf} A$

To summarize:  $\lambda\Pi/\mathcal{R}$ -theory *FOL* for first-order logic

signature  $\Sigma_{FOL}$ :

$I$  : TYPE

$f : I \rightarrow \dots \rightarrow I \rightarrow I$  for each function symbol  $f$  of arity  $n$

$Prop$  : TYPE

$P : I \rightarrow \dots \rightarrow I \rightarrow Prop$  for each predicate symbol  $P$  of arity  $n$

$\top : Prop$ ,  $\neg : Prop \rightarrow Prop$ ,  $\forall : (I \rightarrow Prop) \rightarrow Prop$ , ...

$Prf : Prop \rightarrow TYPE$

$a : Prf A$  for each axiom  $A$

rules  $\mathcal{R}_{FOL}$ :

$Prf(A \Rightarrow B) \hookrightarrow Prf A \rightarrow Prf B$

$Prf(\forall A) \hookrightarrow \Pi x : I, Prf(A x)$

$Prf(A \wedge B) \hookrightarrow \Pi C : Prop, (Prf A \rightarrow Prf B \rightarrow Prf C) \rightarrow Prf C$

$Prf \perp \hookrightarrow \Pi C : Prop, Prf C$

$Prf(\neg A) \hookrightarrow Prf A \rightarrow Prf \perp$

...

# Encoding of first-order logic in $\lambda\Pi/FOL$

encoding of terms:

$$|x| = x$$

$$|ft_1 \dots t_n| = f|t_1| \dots |t_n|$$

encoding of propositions:

$$|Pt_1 \dots t_n| = P|t_1| \dots |t_n|$$

$$|\top| = \top$$

$$|A \wedge B| = |A| \wedge |B|$$

$$|\forall x, A| = \forall(\lambda x : I, |A|)$$

...

$$|\Gamma, A| = |\Gamma|, x_{\|\Gamma\|+1} : A$$

encoding of proofs:

$$\left| \frac{\pi_{\Gamma, A \Rightarrow B}}{\Gamma \vdash A \Rightarrow B} (\Rightarrow_i) \right| = \lambda x_{\|\Gamma\|+1} : \text{Prf } |A|, |\pi_{\Gamma, A \Rightarrow B}|$$

$$\left| \frac{\pi_{\Gamma \vdash A \Rightarrow B} \quad \pi_{\Gamma \vdash A}}{\Gamma \vdash B} (\Rightarrow_e) \right| = |\pi_{\Gamma \vdash A \Rightarrow B}| |\pi_{\Gamma \vdash A}|$$

...

## Properties of the encoding in $\lambda\Pi/FOL$

- a term is mapped to a term of type  $I$
- a proposition is mapped to a term of type  $Prop$
- a proof of  $A$  is mapped to a term of type  $Prf\ |A|$

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if we find  $t$  of type  $Prf\ |A|$ , can we deduce that  $A$  is provable ?

- yes, the encoding is **conservative**:  
if  $Prf\ |A|$  is inhabited then  $A$  is provable

proof sketch: because  $\hookrightarrow_{\beta\mathcal{R}}$  terminates and is confluent,  $t$  has a normal form, and terms in normal form can be easily translated back in first-order logic and natural deduction



## Multi-sorted first-order logic

for each sort  $I_k$  (e.g. point, line, circle), add:

$I_k : \text{TYPE}$

$\forall_k : (I_k \rightarrow \text{Prop}) \rightarrow \text{Prop}$

$\text{Prf}(\forall_k A) \hookrightarrow \Pi x : I_k, \text{Prf}(Ax)$

# Polymorphic first-order logic

same trick as for the BHK interpretation of propositions:

$Set$	:	TYPE		type of sorts
$El$	:	$Set \rightarrow$	TYPE	interpretation of sorts as types
$\iota$	:	$Set$		for each sort $\iota$

$$\forall : \Pi a : Set, (El\ a \rightarrow Prop) \rightarrow Prop$$
$$Prf(\forall ap) \hookrightarrow \Pi x : El\ a, Prf(p\ x)$$

# Higher-order logic

order	quantification on
1	elements
2	sets of elements
3	sets of sets of elements
...	...
$\omega$	any set

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**quantification on functions:**

$\rightsquigarrow : \text{Set} \rightarrow \text{Set} \rightarrow \text{Set}$

$El(a \rightsquigarrow b) \hookrightarrow El\ a \rightarrow El\ b$

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$El(a \rightsquigarrow b) \hookrightarrow El\ a \rightarrow El\ b$

**quantification on propositions (e.g.  $\forall p, p \Rightarrow p$ ):**

$o : \text{Set}$

$El\ o \hookrightarrow \text{Prop}$

# Encoding dependent types

**dependent implication:**

$\Rightarrow_d : \Pi a : \text{Prop}, (\text{Prf } a \rightarrow \text{Prop}) \rightarrow \text{Prop}$

$\text{Prf}(a \Rightarrow_d b) \hookrightarrow \Pi x : \text{Prf } a, \text{Prf}(b\ x)$

# Encoding dependent types

## dependent implication:

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## dependent types:

$\leadsto_d : \Pi a : \text{Set}, (\text{El } a \rightarrow \text{Set}) \rightarrow \text{Set}$

$\text{El}(a \leadsto_d b) \hookrightarrow \Pi x : \text{El } a, \text{El}(b\ x)$

# Encoding dependent types

## dependent implication:

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$\text{El}(a \leadsto_d b) \hookrightarrow \Pi x : \text{El } a, \text{El}(b x)$

## proofs in object-terms:

$\pi : \Pi p : \text{Prop}, (\text{Prf } p \rightarrow \text{Set}) \rightarrow \text{Set}$

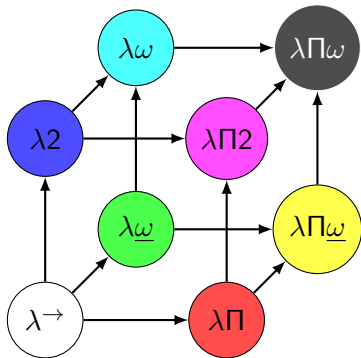
$\text{El}(\pi p a) \hookrightarrow \Pi h : \text{Prf } p, \text{El}(a h)$

example:  $\text{div} : \text{El}(\iota \leadsto_d \lambda y : \text{El } \iota, \pi(y > 0)(\lambda h, \iota))$   
takes 3 arguments:  $x : \text{El } \iota, y : \text{El } \iota, h : \text{Prf}(y > 0)$   
and returns a term of type  $\text{El } \iota$

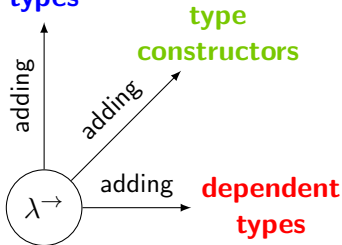


# Encoding the systems of Barendregt's $\lambda$ -cube

feature	PTS rule	$\lambda\Pi/\mathcal{R}$ rule
simple types	TYPE, TYPE	$Prf(a \Rightarrow_d b) \hookrightarrow \Pi x : Prf\ a, Prf(b\ x)$
polymorphic types	KIND, TYPE	$Prf(\forall a\ b) \hookrightarrow \Pi x : El\ a, Prf(b\ x)$
dependent types	TYPE, KIND	$El(\pi\ a\ b) \hookrightarrow \Pi x : Prf\ a, El(b\ x)$
type constructors	KIND, KIND	$El(a \rightsquigarrow_d b) \hookrightarrow \Pi x : El\ a, El(b\ x)$



polymorphic  
types

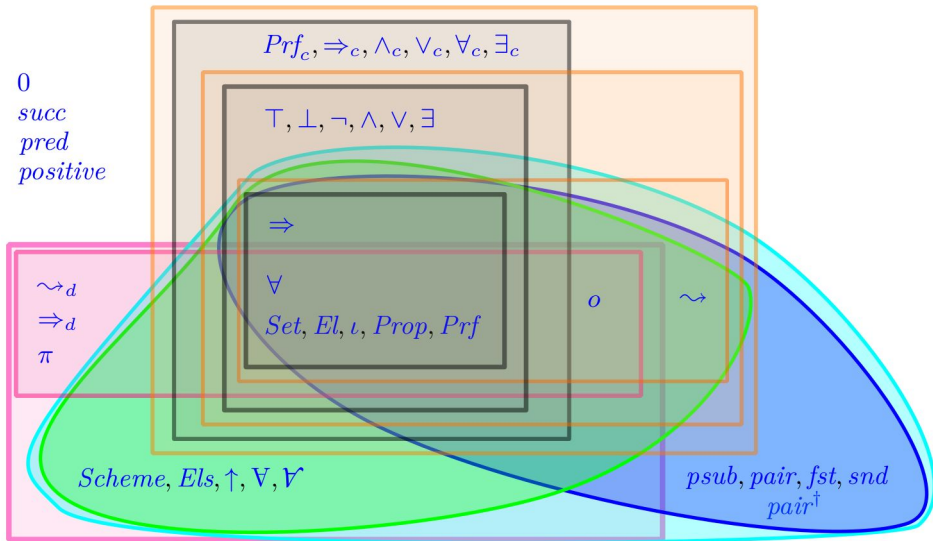


# The $\lambda\Pi/\mathcal{R}$ theory U and its sub-theories

38 symbols, 28 rules, 13 sub-theories

0

*succ*  
*pred*  
*positive*



# Encoding functional Pure Type Systems

terms and types:

$$t := x \mid tt \mid \lambda x : t, t \mid \Pi x : t, t \mid s \in \mathcal{S}$$

typing rules:

$$\frac{}{\emptyset \vdash} \quad \frac{\Gamma \vdash A : s}{\Gamma, x : A \vdash} \quad \frac{\Gamma \vdash (x, A) \in \Gamma}{\Gamma \vdash x : A}$$

$$(sort) \frac{\Gamma \vdash (s_1, s_2) \in \mathcal{A}}{\Gamma \vdash s_1 : s_2}$$

$$(prod) \frac{\Gamma \vdash A : s_1 \quad \Gamma, x : A \vdash B : s_2 \quad ((s_1, s_2), s_3) \in \mathcal{P}}{\Gamma \vdash \Pi x : A, B : s_3}$$

$$\frac{\Gamma, x : A \vdash t : B \quad \Gamma \vdash \Pi x : A, B : s}{\Gamma \vdash \lambda x : A, t : \Pi x : A, B} \quad \frac{\Gamma \vdash t : \Pi x : A, B \quad \Gamma \vdash u : A}{\Gamma \vdash tu : B\{(x, u)\}}$$

$$\frac{\Gamma \vdash t : A \quad A \simeq_{\beta} A' \quad \Gamma \vdash A' : s}{\Gamma \vdash t : A'}$$

# Encoding functional Pure Type Systems

(Cousineau & Dowek, 2007)

signature:

$U_s : \text{TYPE}$  for each sort  $s \in \mathcal{S}$

$El_s : U_s \rightarrow \text{TYPE}$

$s_1 : U_{s_2}$  for every  $(s_1, s_2) \in \mathcal{A}$

$\pi_{s_1, s_2} : \prod a : U_{s_1}, (El_{s_1} a \rightarrow U_{s_2}) \rightarrow U_{s_3}$  for every  $(s_1, s_2, s_3) \in \mathcal{P}$

rules:

$El_{s_2} s_1 \hookrightarrow U_{s_1}$  for every  $(s_1, s_2) \in \mathcal{A}$

$El_{s_3} (\pi_{s_1, s_2} a b) \hookrightarrow \prod x : El_{s_1} a, El_{s_2} (b x)$  for every  $(s_1, s_2, s_3) \in \mathcal{P}$

encoding:

$|x|_\Gamma = x$

$|s|_\Gamma = s$

$|\lambda x : A, t|_\Gamma = \lambda x : El_s |A|_\Gamma, |t|_{\Gamma, x:A}$  if  $\Gamma \vdash A : s$

$|tu|_\Gamma = |t|_\Gamma |u|_\Gamma$

$|\prod x : A, B|_\Gamma = \pi_{s_1, s_2} |A|_\Gamma (\lambda x : El_{s_1} |A|_\Gamma, |B|_{\Gamma, x:A})$   
if  $\Gamma \vdash A : s_1$  and  $\Gamma, x : A \vdash B : s_2$

## Encoding other features

- recursive functions (Assaf 2015, Cauderlier 2016, Férey 2021)
  - different approaches, no general theory yet
  - encoding in recursors instead ? (cf. Sozeau, Cockx, ...)
- universe polymorphism (Genestier 2020)
  - requires rewriting with matching modulo AC
  - or rewriting on AC canonical forms (Blanqui 2022)
- $\eta$ -conversion on function types (Genestier 2020)
- predicate subtyping with proof irrelevance (Hondet 2020)
- co-inductive objects and co-recursion (Felicissimo 2021)