

# Proof Systems Interoperability

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EuroProofNet

# Outline

Historical overview on proof systems interoperability

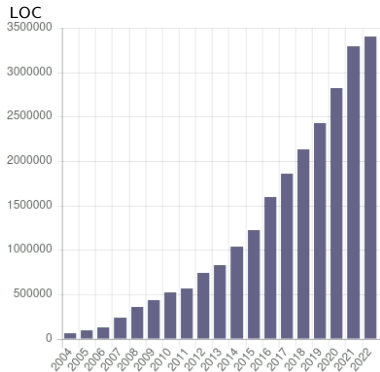
How to encode logics in  $\lambda\Pi/\mathcal{R}$  ?

Example: from HOL-Light to Coq via Lambdapi

# Libraries of formal proofs today

Library	Nb files	Nb objects*
Coq Opam	37,700	1,285,000
Isabelle AFP	8,700	272,000
Lean Mathlib	4,600	238,000
Mizar Mathlib	1,400	77,000
HOL-Light Lib	635	36,500
...	...	...

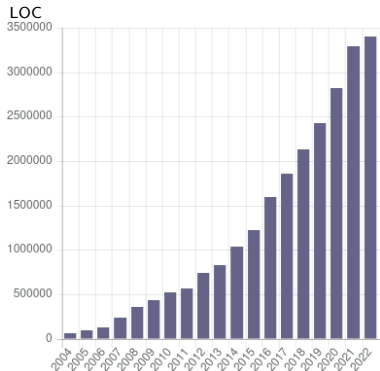
\* type, definition, theorem, ... (July 2024)



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- ▶ Every system has its own basic libraries on integers, lists, reals, ...
- ▶ Some definitions/theorems are available in one system only and took several man-years to be formalized

## Interest of proof systems interoperability

- ▶ Avoid duplicating developments and losing time
- ▶ Facilitate development of new proofs and new systems
- ▶ Increase reliability of formal proofs (cross-checking)
- ▶ Facilitate validation by certification authorities
- ▶ Relativize the choice of a system (school, industry)
- ▶ Provide multi-system data to machine learning

## Difficulties of proof systems interoperability

- ▶ Each system is based on different axioms and deduction rules
- ▶ It is usually non trivial and sometimes impossible to translate a proof from one system to the other (e.g. a proof using impredicativity or proof irrelevance in a system not allowing these features)

## Some milestones

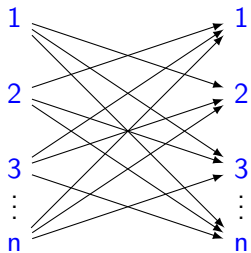
- ▶ 1993: **QED Manifesto**  
**DIMACS** format for CNF problems  
**TPTP** format for FOL problems [Sutcliffe & al]
- ▶ 1996: HOL90 to NuPRL translator [Howe, statements only]
- ▶ 1998: **MathML/OpenMath/OMDoc** [Kohlhase & al]
- ▶ 2003: **TPDB** format for rewrite systems  
**TSTP** proof format for ATPs  
**SMT-lib** format for FOL/T problems  
**Flyspeck** project with HOL-Light, Coq and Isabelle/HOL
- ▶ 2007: **Functional PTSs in  $\lambda\Pi/\mathcal{R}$**  [Cousineau & Dowek]  
**OpenTheory** proof format for HOL-based proof assistants
- ▶ 2009: **CPF** proof format for termination provers
- ▶ 2011: **Logic Atlas & Integrator** [Kohlhase & al]
- ▶ 2013: **DRAT** proof format for SAT solvers [Heule & al]  
**MMT/Modules for Mathematical Theories** [Rabe & al]
- ▶ 2020: **Alethe** proof format for SMT solvers [Fontaine & al]

## One-to-one translation tools

- ▶ HOL90 to NuPRL [Howe 1996, statements only]
- ▶ HOL98 to Coq [Denney 2000]
- ▶ HOL98 to NuPRL [Naumov et al 2001]
- Flyspeck project with HOL-Light, Coq and Isabelle/HOL [2003]*
- ▶ HOL to Isabelle/HOL [Obua 2006]
- ▶ Isabelle/HOL to HOL-Light [McLaughlin 2006]
- ▶ HOL-Light to Coq [Wiedijk 2007, no implementation]
- ▶ HOL-Light to Coq [Keller & Werner 2010]
- ▶ HOL-Light to HOL4 [Kumar 2013]
- ▶ HOL-Light to Metamath [Carneiro 2016]
- ▶ HOL4 to Isabelle/HOL [Immler et al 2019]
- ▶ Lean3 to Coq [Gilbert 2020]
- ▶ Lean3 to Lean4 [Lean community 2021]
- ▶ Maude to Lean [Rubio & Riesco 2022]
- ▶ ...

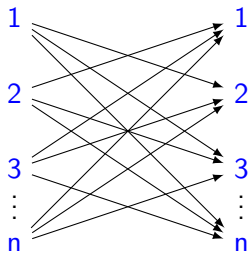


## Interoperability between $n$ systems ?

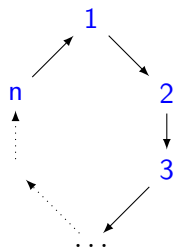


$n(n - 1)$  translators

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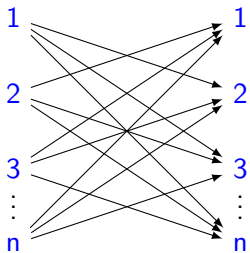


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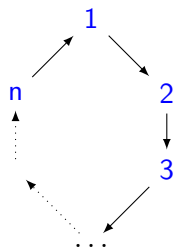


$n$  translators

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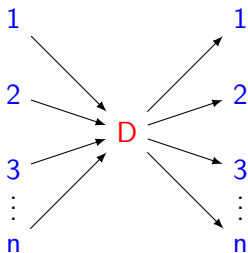


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$n$  translators

Can't we be more generic ?



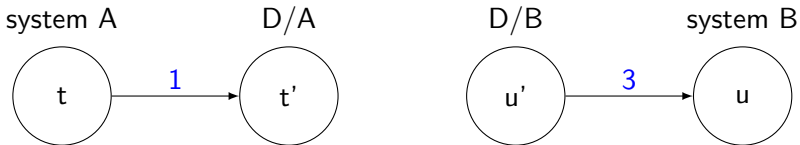
$2n$  translators

## A common language for proofs?

A logical framework  $D$

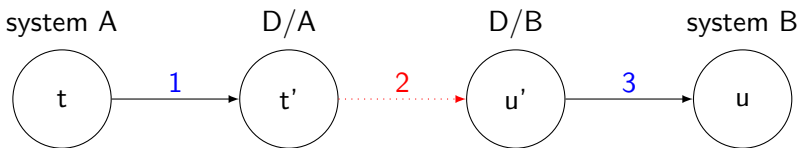
language for describing axioms, deduction rules and proofs of a system  $S$  as a theory  $D/S$  in  $D$

# How to translate a proof $t \in A$ in a proof $u \in B$ via a logical framework $D$ ?



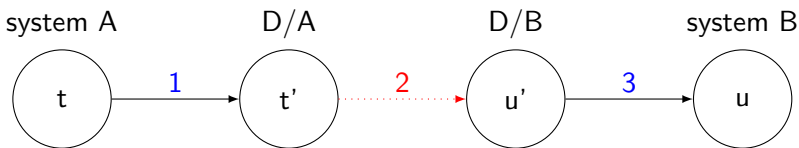
1. translate  $t \in A$  in  $t' \in D/A$
3. translate  $u' \in D/B$  in  $u \in B$

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1. translate  $t \in A$  in  $t' \in D/A$
2. identify the axioms and deduction rules of  $A$  used in  $t'$   
translate  $t' \in D/A$  in  $u' \in D/B$  if possible
3. translate  $u' \in D/B$  in  $u \in B$

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3. translate  $u' \in D/B$  in  $u \in B$

$\Rightarrow$  represent features common to  $A$  &  $B$  identically in  $D/A$  &  $D/B$

## A common language for proofs?

### A logical framework $D$

language for describing axioms, deduction rules and proofs of a system  $S$  as a theory  $D/S$  in  $D$

Example:  $D =$  predicate calculus

allows one to represent  $S =$  geometry,  $S =$  arithmetic,  $S =$  set theory, ...  
not well suited for computation and dependent types



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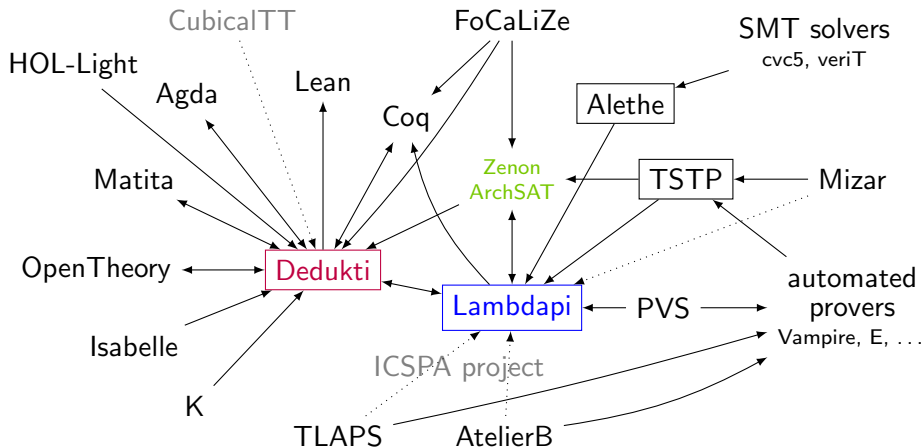
Better:  $D = \lambda\Pi$ -calculus modulo rewriting/Dedukti

allows one to represent also:

$S =$  HOL,  $S =$  Coq,  $S =$  Agda,  $S =$  PVS, ...

other options:  $\lambda$ Prolog, Twelf, Isabelle, Metamath, MMT, ...




# Dedukti, an assembly language for proof systems






Lamdapi = Dedukti + implicit arguments/coercions, tactics, ...

All translation tools are available on <https://github.com/Deducteam/>

## Libraries translated to Dedukti




System	Libraries
OpenTheory	OpenTheory Library
HOL-Light	Multivariate  (all ML files soon?)
Matita	Arithmetic Library
Coq	Stdlib parts, GeoCoq parts
Isabelle	HOL session, AFP parts  (AFP soon?)
Agda	Stdlib parts ( $\pm 25\%$ )
PVS	Stdlib parts (statements only)
TPTP	E 69%, Vampire 83% (for CNF only) integration in TPTP World via GDV 

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Remark: Dedukti libraries can be searched by using Lambdapi index and search commands (Claudio Sacerdoti Coen)

## Examples of translations via Dedukti

- ▶ Matita arith lib → OpenTheory, Coq, PVS, Lean [Thiré 2018]  
<http://logipedia.inria.fr>
- ▶ Matita arith lib → Agda [Felicissimo 2023]   
[https://github.com/thiagofelicissimo/matita\\_lib\\_in\\_agda](https://github.com/thiagofelicissimo/matita_lib_in_agda)
- ▶ HOL-Light → Coq [B. 2024]   
<https://github.com/Deducteam/hol2dk/>
- ▶ Isabelle/HOL → Coq (work in progress)   
[B., Dubut, Yamada, Leray, Färber, Wenzel]  
[https://github.com/Deducteam/isabelle\\_dedukti/](https://github.com/Deducteam/isabelle_dedukti/)

# Outline

Historical overview on proof systems interoperability

How to encode logics in  $\lambda\Pi/\mathcal{R}$  ?

Example: from HOL-Light to Coq via Lambdapi

## What is the $\lambda\Pi$ -calculus modulo rewriting?

$\lambda\Pi/\mathcal{R} = \lambda$                       simply-typed  $\lambda$ -calculus  
+  $\Pi$                                   dependent types, e.g. Array  $n$   
+  $\mathcal{R}$                                   identification of types modulo rewrites rules  $l \hookrightarrow r$

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$\lambda\Pi/\mathcal{R} = \lambda$  simply-typed  $\lambda$ -calculus  
+  $\Pi$  dependent types, e.g.  $\text{Array } n$   
+  $\mathcal{R}$  identification of types modulo rewrites rules  $l \hookrightarrow r$

---

typing = typing of Edinburg's Logical Framework LF including:

(abs) 
$$\frac{\Gamma, x : A \vdash t : B \quad \Gamma \vdash \Pi x : A, B : \text{TYPE}}{\Gamma \vdash \lambda x : A, t : \Pi x : A, B} \quad x \notin \Gamma: \text{ types of local variables}$$

(app) 
$$\frac{\Gamma \vdash t : \Pi x : A, B \quad \Gamma \vdash u : A}{\Gamma \vdash tu : B\{x \mapsto u\}}$$

+ the rule (conv) 
$$\frac{\Gamma \vdash t : A \quad A \equiv_{\beta\mathcal{R}} B}{\Gamma \vdash t : B} \quad \equiv_{\beta\mathcal{R}}: \text{ equational theory generated by } \beta \text{ and } \mathcal{R}$$

---

concat :  $\Pi p : \mathbb{N}, \text{Array } p \rightarrow \Pi q : \mathbb{N}, \text{Array } q \rightarrow \text{Array}(p + q)$

concat 2 a 3 b :  $\text{Array}(2 + 3) \equiv_{\beta\mathcal{R}} \text{Array}(5)$



# First-order logic

- ▶ **the set of terms**

built from a set of function symbols equipped with an arity

- ▶ **the set of propositions**

built from a set of predicate symbols equipped with an arity and the logical connectives  $\top$ ,  $\perp$ ,  $\neg$ ,  $\Rightarrow$ ,  $\wedge$ ,  $\vee$ ,  $\Leftrightarrow$ ,  $\forall$ ,  $\exists$

- ▶ **the set of axioms** (the actual theory)

- ▶ **the subset of provable propositions**

using deduction rules, e.g. natural deduction:

$$(\Rightarrow\text{-intro}) \frac{\Gamma, A \vdash B}{\Gamma \vdash A \Rightarrow B} \quad (\Rightarrow\text{-elim}) \frac{\Gamma \vdash A \Rightarrow B \quad \Gamma \vdash A}{\Gamma \vdash B}$$

$$(\forall\text{-intro}) \frac{\Gamma \vdash A \quad x \notin \Gamma}{\Gamma \vdash \forall x, A} \quad (\forall\text{-elim}) \frac{\Gamma \vdash \forall x, A}{\Gamma \vdash A\{(x, u)\}}$$

...

# Encoding of first-order logic

► **the set of terms**

$/$  : TYPE

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function symbol:  $/ \rightarrow \dots \rightarrow / \rightarrow /$

# Encoding of first-order logic

- ▶ **the set of terms**  $I$  : TYPE  
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function symbol:  $I \rightarrow \dots \rightarrow I \rightarrow I$
- ▶ **the set of propositions**  $Prop$  : TYPE  
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predicate symbol:  $I \rightarrow \dots \rightarrow I \rightarrow Prop$   
and the logical connectives  $\top, \perp, \neg, \Rightarrow, \wedge, \vee, \Leftrightarrow, \forall, \exists$   
 $\top : Prop, \neg : Prop \rightarrow Prop, \forall : (I \rightarrow Prop) \rightarrow Prop, \dots$   
we use  $\lambda$ -calculus to encode quantifiers:  
we encode  $\forall x, A$  as  $\forall(\lambda x : I, A)$

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 $\top$  :  $Prop$ ,  $\neg$  :  $Prop \rightarrow Prop$ ,  $\forall$  :  $(I \rightarrow Prop) \rightarrow Prop$ , ...  
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how to encode proofs?

- ▶ **the set of axioms** (the actual theory)
- ▶ **the subset of provable propositions**  
using deduction rules, e.g. natural deduction

## Using $\lambda$ -terms to represent proofs (Curry-de Bruijn-Howard isomorphism)

by interpreting propositions as types ( $\Rightarrow/\rightarrow, \forall/\Pi$ )

the typing rules of  $\lambda\Pi$  correspond to the rules of natural deduction:

$$(\Rightarrow\text{-intro}) \frac{\Gamma, x : A \vdash t : B}{\Gamma \vdash \lambda x : A, t : A \Rightarrow B}$$

$$(\Rightarrow\text{-elim}) \frac{\Gamma \vdash t : A \Rightarrow B \quad \Gamma \vdash u : A}{\Gamma \vdash tu : B}$$

$$(\forall\text{-intro}) \frac{\Gamma \vdash t : A \quad x \notin \Gamma}{\Gamma \vdash \lambda x, t : \forall x, A}$$

$$(\forall\text{-elim}) \frac{\Gamma \vdash t : \forall x, A}{\Gamma \vdash tu : A\{(x, u)\}}$$

and **proof checking** is reduced to **type checking**

## Expliciting the Brouwer-Heyting-Kolmogorov interpretation

terms of type *Prop* are not types. . .

but we can interpret a proposition as a type by applying:

$$\boxed{\text{Prf} : \text{Prop} \rightarrow \text{TYPE}}$$

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$$\lambda x : \text{Prf } A, x \quad : \quad \text{Prf } A \rightarrow \text{Prf } A$$

and

$$\lambda x : \text{Prf } A, x \quad \not/ \quad \text{Prf}(A \Rightarrow A)$$



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and

$$\lambda x : \text{Prf } A, x \quad \not/ \quad \text{Prf}(A \Rightarrow A)$$

unless we add the rewrite rule

$$\boxed{\text{Prf}(A \Rightarrow B) \quad \hookrightarrow \quad \text{Prf } A \rightarrow \text{Prf } B}$$

## Encoding $\Rightarrow$

because  $\text{Prf}(A \Rightarrow B) \hookrightarrow \text{Prf } A \rightarrow \text{Prf } B$

the introduction rule for  $\Rightarrow$  is the abstraction:

$$\begin{array}{l} (\Rightarrow\text{-intro}) \frac{\Gamma, A \vdash B}{\Gamma \vdash A \Rightarrow B} \\ (\text{abs}) \frac{\Gamma, x : \text{Prf } A \vdash t : \text{Prf } B}{\Gamma \vdash \lambda x : A, t : \text{Prf } A \rightarrow \text{Prf } B} \\ (\text{conv}) \frac{\Gamma, x : \text{Prf } A \vdash t : \text{Prf } B}{\Gamma \vdash \lambda x : A, t : \text{Prf}(A \Rightarrow B)} \end{array}$$

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the elimination rule for  $\Rightarrow$  is the application:

$$\begin{array}{l} (\Rightarrow\text{-elim}) \frac{\Gamma \vdash A \Rightarrow B \quad \Gamma \vdash A}{\Gamma \vdash B} \\ (\text{conv}) \frac{\Gamma \vdash t : \text{Prf}(A \Rightarrow B)}{\Gamma \vdash t : \text{Prf } A \rightarrow \text{Prf } B} \quad \Gamma \vdash u : \text{Prf } A \\ (\text{app}) \frac{}{\Gamma \vdash tu : \text{Prf } B} \end{array}$$

## Encoding $\forall$

we can do something similar for  $\forall : (I \rightarrow Prop) \rightarrow Prop$  by taking:

$$Prf(\forall A) \quad \leftrightarrow \quad \Pi x : I, Prf(Ax)$$

then the introduction rule for  $\forall$  is the abstraction  
and the elimination rule for  $\forall$  is the application

## Encoding the other connectives

the other connectives can be defined

by using a meta-level quantification on propositions:

$$\mathit{Prf}(A \wedge B) \quad \hookrightarrow \quad \prod C : \mathit{Prop}, (\mathit{Prf} A \rightarrow \mathit{Prf} B \rightarrow \mathit{Prf} C) \rightarrow \mathit{Prf} C$$

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introduction and elimination rules can be derived:

( $\wedge$ -intro):

$$\begin{aligned} \lambda a : \mathit{Prf} A, \lambda b : \mathit{Prf} B, \lambda C : \mathit{Prop}, \lambda h : \mathit{Prf} A \rightarrow \mathit{Prf} B \rightarrow \mathit{Prf} C, hab \\ \text{is of type} \\ \mathit{Prf} A \rightarrow \mathit{Prf} B \rightarrow \mathit{Prf}(A \wedge B) \end{aligned}$$

( $\wedge$ -elim1):

$$\begin{aligned} \lambda c : \mathit{Prf}(A \wedge B), c A (\lambda a : \mathit{Prf} A, \lambda b : \mathit{Prf} B, a) \\ \text{is of type} \\ \mathit{Prf}(A \wedge B) \rightarrow \mathit{Prf} A \end{aligned}$$

# To summarize: $\lambda\Pi/\mathcal{R}$ -theory *FOL* for first-order logic

signature  $\Sigma_{FOL}$ :

$I$  : TYPE

$f : I \rightarrow \dots \rightarrow I \rightarrow I$       for each function symbol  $f$  of arity  $n$

$Prop$  : TYPE

$P : I \rightarrow \dots \rightarrow I \rightarrow Prop$       for each predicate symbol  $P$  of arity  $n$

$\top : Prop, \neg : Prop \rightarrow Prop, \forall : (I \rightarrow Prop) \rightarrow Prop, \dots$

$Prf : Prop \rightarrow$  TYPE

$a : Prf A$       for each axiom  $A$

rules  $\mathcal{R}_{FOL}$ :

$Prf(A \Rightarrow B) \Leftrightarrow Prf A \rightarrow Prf B$

$Prf(\forall A) \Leftrightarrow \Pi x : I, Prf(A x)$

$Prf(A \wedge B) \Leftrightarrow \Pi C : Prop, (Prf A \rightarrow Prf B \rightarrow Prf C) \rightarrow Prf C$

$Prf \perp \Leftrightarrow \Pi C : Prop, Prf C$

$Prf(\neg A) \Leftrightarrow Prf A \rightarrow Prf \perp$

...

# Encoding of first-order logic in $\lambda\Pi/FOL$

encoding of terms:

$$|x| = x$$

$$|ft_1 \dots t_n| = f |t_1| \dots |t_n|$$

encoding of propositions:

$$|Pt_1 \dots t_n| = P |t_1| \dots |t_n|$$

$$|\top| = \top$$

$$|A \wedge B| = |A| \wedge |B|$$

$$|\forall x, A| = \forall (\lambda x : I, |A|)$$

...

$$|\Gamma, A| = |\Gamma|, x_{|\Gamma|+1} : A$$

encoding of proofs:

$$\left| \frac{\pi_{\Gamma, A \Rightarrow B}}{\Gamma \vdash A \Rightarrow B} (\Rightarrow_i) \right| = \lambda x_{|\Gamma|+1} : \mathit{Prf} |A|, |\pi_{\Gamma, A \Rightarrow B}|$$

$$\left| \frac{\pi_{\Gamma \vdash A \Rightarrow B} \quad \pi_{\Gamma \vdash A}}{\Gamma \vdash B} (\Rightarrow_e) \right| = |\pi_{\Gamma \vdash A \Rightarrow B}| |\pi_{\Gamma \vdash A}|$$

...



## Properties of the encoding in $\lambda\Pi/FOL$

- ▶ a term is mapped to a term of type  $I$
- ▶ a proposition is mapped to a term of type  $Prop$
- ▶ a proof of  $A$  is mapped to a term of type  $Prf |A|$

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- ▶ a proof of  $A$  is mapped to a term of type  $Prf\ |A|$

if we find  $t$  of type  $Prf\ |A|$ , can we deduce that  $A$  is provable ?

- ▶ yes, the encoding is **conservative**:  
if  $Prf\ |A|$  is inhabited then  $A$  is provable

proof sketch: because  $\hookrightarrow_{\beta\mathcal{R}}$  terminates and is confluent,  $t$  has a normal form, and terms in normal form can be easily translated back in first-order logic and natural deduction

## Multi-sorted first-order logic

for each sort  $I_k$  (e.g. point, line, circle), add:

$I_k : \text{TYPE}$

$\forall_k : (I_k \rightarrow \text{Prop}) \rightarrow \text{Prop}$

$\text{Prf}(\forall_k A) \hookrightarrow \prod_{x : I_k} \text{Prf}(Ax)$

# Polymorphic first-order logic

same trick as for the BHK interpretation of propositions:

$Set$  : TYPE type of sorts  
 $El$  :  $Set \rightarrow$  TYPE interpretation of sorts as types  
 $\iota$  :  $Set$  for each sort  $\iota$

$\forall$  :  $\Pi a : Set, (El\ a \rightarrow Prop) \rightarrow Prop$

$Prf(\forall ap) \hookrightarrow \Pi x : El\ a, Prf(p\ x)$

## Higher-order logic

order	quantification on
1	elements
2	sets of elements
3	sets of sets of elements
...	...
$\omega$	any set

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# Higher-order logic

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2	sets of elements
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quantification on functions:

$\rightsquigarrow : \text{Set} \rightarrow \text{Set} \rightarrow \text{Set}$

$El(a \rightsquigarrow b) \leftrightarrow El a \rightarrow El b$

quantification on propositions/impredicativity (e.g.  $\forall p, p \Rightarrow p$ ):

$o : \text{Set}$

$El o \leftrightarrow \text{Prop}$



## Encoding dependent constructions

dependent implication:

$$\Rightarrow_d : \Pi a : Prop, (Prf\ a \rightarrow Prop) \rightarrow Prop$$
$$Prf(a \Rightarrow_d b) \leftrightarrow \Pi x : Prf\ a, Prf(b\ x)$$

# Encoding dependent constructions

dependent implication:

$$\Rightarrow_d : \Pi a : Prop, (Prf\ a \rightarrow Prop) \rightarrow Prop$$
$$Prf(a \Rightarrow_d b) \leftrightarrow \Pi x : Prf\ a, Prf(b\ x)$$

dependent types:

$$\rightsquigarrow_d : \Pi a : Set, (El\ a \rightarrow Set) \rightarrow Set$$
$$El(a \rightsquigarrow_d b) \leftrightarrow \Pi x : El\ a, El(b\ x)$$

# Encoding dependent constructions

dependent implication:

$$\Rightarrow_d : \Pi a : Prop, (Prf\ a \rightarrow Prop) \rightarrow Prop$$
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$$\rightsquigarrow_d : \Pi a : Set, (El\ a \rightarrow Set) \rightarrow Set$$
$$El(a \rightsquigarrow_d b) \leftrightarrow \Pi x : El\ a, El(b\ x)$$

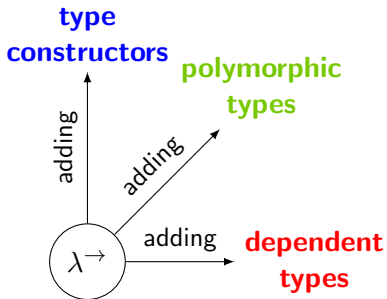
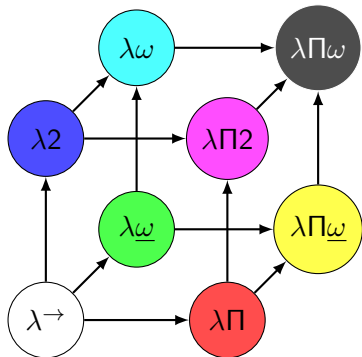
proofs in object-terms:

$$\pi : \Pi p : Prop, (Prf\ p \rightarrow Set) \rightarrow Set$$
$$El(\pi\ p\ a) \leftrightarrow \Pi x : Prf\ p, El(a\ x)$$

example:  $div : El(\iota \rightsquigarrow \iota \rightsquigarrow_d \lambda y : El\ \iota, \pi(y > 0)(\lambda -, \iota))$   
takes 3 arguments:  $x : El\ \iota$ ,  $y : El\ \iota$ ,  $p : Prf(y > 0)$   
and returns a term of type  $El\ \iota$

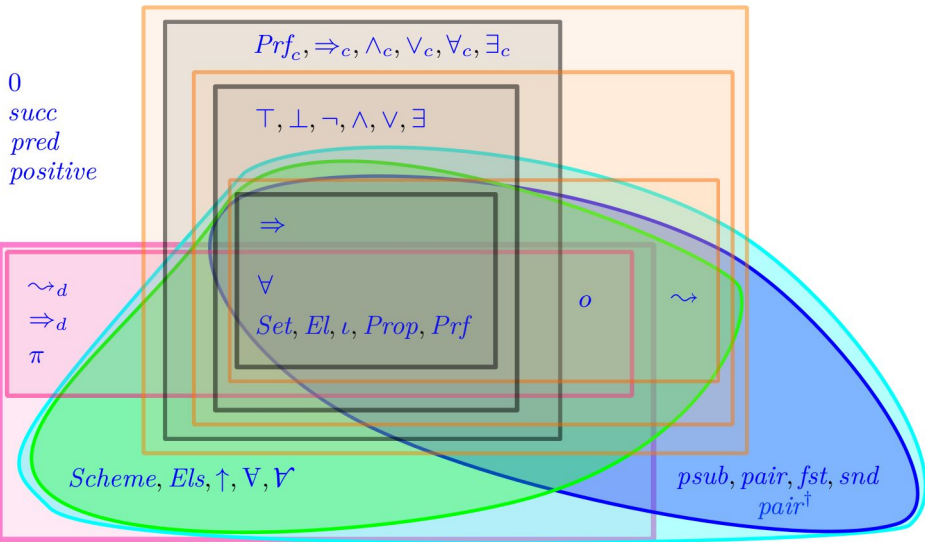
# Encoding the systems of Barendregt's $\lambda$ -cube

system	PTS rule	$\lambda\Pi/\mathcal{R}$ rule
simple types	TYPE, TYPE	$Prf(a \Rightarrow_d b) \leftrightarrow \Pi x : Prf\ a, Prf(b\ x)$
polymorphic types	KIND, TYPE	$Prf(\forall ab) \leftrightarrow \Pi x : El\ a, Prf(b\ x)$
dependent types	TYPE, KIND	$El(\pi\ a\ b) \leftrightarrow \Pi x : Prf\ a, El(b\ x)$
type constructors	KIND, KIND	$El(a \rightsquigarrow_d b) \leftrightarrow \Pi x : El\ a, El(b\ x)$



# The modular $\lambda\Pi/\mathcal{R}$ theory U and its sub-theories

[B., Dowek, Grienerberger, Hondet, Thiré 2021]



Lambdapi files

# Functional Pure Type Systems $(\mathcal{S}, \mathcal{A}, \mathcal{P})$ $\mathcal{A} \subseteq \mathcal{S}^2, \mathcal{P} \subseteq \mathcal{S}^2 \times \mathcal{S}$

**terms and types:**

$$t := x \mid tt \mid \lambda x : t, t \mid \Pi x : t, t \mid s \in \mathcal{S}$$

**typing rules:**

$$\frac{}{\emptyset \vdash} \quad \frac{\Gamma \vdash A : s}{\Gamma, x : A \vdash} \quad \frac{\Gamma \vdash (x, A) \in \Gamma}{\Gamma \vdash x : A}$$

$$(sort) \quad \frac{\Gamma \vdash (s_1, s_2) \in \mathcal{A}}{\Gamma \vdash s_1 : s_2}$$

$$(prod) \quad \frac{\Gamma \vdash A : s_1 \quad \Gamma, x : A \vdash B : s_2 \quad ((s_1, s_2), s_3) \in \mathcal{P}}{\Gamma \vdash \Pi x : A, B : s_3}$$

$$\frac{\Gamma, x : A \vdash t : B \quad \Gamma \vdash \Pi x : A, B : s}{\Gamma \vdash \lambda x : A, t : \Pi x : A, B} \quad \frac{\Gamma \vdash t : \Pi x : A, B \quad \Gamma \vdash u : A}{\Gamma \vdash tu : B\{(x, u)\}}$$

$$\frac{\Gamma \vdash t : A \quad A \simeq_{\beta} A' \quad \Gamma \vdash A' : s}{\Gamma \vdash t : A'}$$

# Encoding Functional Pure Type Systems

[Cousineau & Dowek 2007]

signature:

$U_s : \text{TYPE}$  for each sort  $s \in \mathcal{S}$

$El_s : U_s \rightarrow \text{TYPE}$

$s_1 : U_{s_2}$  for every  $(s_1, s_2) \in \mathcal{A}$

$\pi_{s_1, s_2} : \prod a : U_{s_1}, (El_{s_1} a \rightarrow U_{s_2}) \rightarrow U_{s_3}$  for every  $((s_1, s_2), s_3) \in \mathcal{P}$

rules:

$El_{s_2} s_1 \hookrightarrow U_{s_1}$  for every  $(s_1, s_2) \in \mathcal{A}$

$El_{s_3} (\pi_{s_1, s_2} a b) \hookrightarrow \prod x : El_{s_1} a, El_{s_2} (b x)$  for every  $((s_1, s_2), s_3) \in \mathcal{P}$

encoding:

$|x|_\Gamma = x$

$|s|_\Gamma = s$

$|\lambda x : A, t|_\Gamma = \lambda x : El_s |A|_\Gamma, |t|_{\Gamma, x:A}$  if  $\Gamma \vdash A : s$

$|tu|_\Gamma = |t|_\Gamma |u|_\Gamma$

$|\prod x : A, B|_\Gamma = \pi_{s_1, s_2} |A|_\Gamma (\lambda x : El_{s_1} |A|_\Gamma, |B|_{\Gamma, x:A})$   
if  $\Gamma \vdash A : s_1$  and  $\Gamma, x : A \vdash B : s_2$

## Encoding other features

- ▶ **recursive functions** [Assaf 2015, Cauderlier 2016, Férey 2021]
  - different approaches, no general theory (use recursors?)
- ▶ **universe polymorphism** [Genestier 2020]
  - requires rewriting with matching modulo AC or rewriting on AC canonical forms [B. 2022]
- ▶  **$\eta$ -conversion on function types** [Genestier 2020]
- ▶ **predicate subtyping with proof irrelevance** [Hondet 2020]
- ▶ **co-inductive objects and co-recursion** [Felicissimo 2021]



# Outline

Historical overview on proof systems interoperability

How to encode logics in  $\lambda\Pi/\mathcal{R}$  ?

Example: from HOL-Light to Coq via Lambdapi

## Previous works & tools on HOL to Coq

- ▶ **Denney 2000:** translates HOL98 proofs to Coq **scripts** using some intermediate stack-based machine language
- ▶ **Wiedijk 2007:** describes a manual translation of HOL-Light proofs in Coq terms via a **shallow embedding** (no implem)
- ▶ **Keller & Werner 2010:** translates HOL-Light proofs to Coq terms via a **deep embedding** & computational reflection

## Previous works & tools on HOL to Coq

- ▶ **Denney 2000:** translates HOL98 proofs to Coq **scripts** using some intermediate stack-based machine language
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- ▶ **Keller & Werner 2010:** translates HOL-Light proofs to Coq terms via a **deep embedding** & computational reflection
- ▶ **B. 2023:** implements Wiedijk approach via a **shallow embedding in Lambdapi** using results and ideas from:
  - Assaf & Burel (translation of OpenTheory to Dedukti, 2015)
  - Kaliszyk & Krauss (translation of HOL-Light to Isabelle, 2013)

## HOL-Light logic

**Terms:** simply typed  $\lambda$ -terms with prenex polymorphism (OCaml)

**Rules:**

$$\frac{}{\vdash t = t} \text{REFL} \qquad \frac{\Gamma \vdash s = t \quad \Delta \vdash t = u}{\Gamma \cup \Delta \vdash s = u} \text{TRANS}$$

$$\frac{\Gamma \vdash s = t \quad \Delta \vdash u = v}{\Gamma \cup \Delta \vdash su = tv} \text{MK\_COMB} \qquad \frac{\Gamma \vdash s = t}{\Gamma \vdash \lambda x, s = \lambda x, t} \text{ABS}$$

$$\frac{}{\vdash (\lambda x, t)x = t} \text{BETA} \qquad \frac{}{\{p\} \vdash p} \text{ASSUME}$$

$$\frac{\Gamma \vdash p = q \quad \Delta \vdash p}{\Gamma \cup \Delta \vdash q} \text{EQ\_MP}$$

$$\frac{\Gamma \vdash p \quad \Delta \vdash q}{(\Gamma - \{q\}) \cup (\Delta - \{p\}) \vdash p = q} \text{DEDUCT\_ANTISYM\_RULE}$$

$$\frac{\Gamma \vdash p}{\Gamma \theta \vdash p\theta} \text{INST} \qquad \frac{\Gamma \vdash p}{\Gamma \Theta \vdash p\Theta} \text{INST\_TYPE}$$

# HOL-Light logic: connectives are defined from equality!

(Andrews Q0 logic)

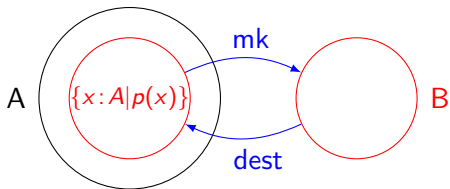
$$\begin{aligned}\top &=_{def} (\lambda p.p) = (\lambda p.p) \\ \wedge &=_{def} \lambda p.\lambda q.(\lambda f.fpq) = (\lambda f.f\top\top) \\ \Rightarrow &=_{def} \lambda p.\lambda q.(p \wedge q) = p \\ \forall &=_{def} \lambda p.p = (\lambda x.\top) \\ \exists &=_{def} \lambda p.\forall q.(\forall x.px \Rightarrow q) \Rightarrow q \\ \vee &=_{def} \lambda p.\lambda q.\forall r.(p \Rightarrow r) \Rightarrow (q \Rightarrow r) \Rightarrow r \\ \perp &=_{def} \forall p.p \\ \neg &=_{def} \lambda p.p \Rightarrow \perp\end{aligned}$$

## Term and type definitions in HOL-Light

- ▶ One can give a name  $c$  to a term  $t$  of type  $A$  by adding:
  - a typed constant  $c:A$
  - an axiom  $c = t$

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- ▶ One can give a name  $B$  to a type isomorphic to the set of terms of type  $A$  satisfying some predicate  $p:A \rightarrow \text{bool}$  by adding:
  - a type constant  $B$
  - a proof of  $\exists a. p\ a$
  - a typed constant  $\text{mk}:A \rightarrow B$
  - a typed constant  $\text{dest}:B \rightarrow A$
  - an axiom  $\forall b:B. \text{mk}(\text{dest}\ b) = b$
  - an axiom  $\forall a:A. p\ a = (\text{dest}(\text{mk}\ a) = a)$



## Step 1: extract proofs out of HOL-Light

HOL-Light uses the **LCF approach**:

it records provability and not proofs

```
type thm = Sequent of (term list * term      )
```

```
val REFL : term -> thm
val TRANS : thm -> thm -> thm
val MK_COMB : thm * thm -> thm
val ABS : term -> thm -> thm
val BETA : term -> thm
val ASSUME : term -> thm
val EQ_MP : thm -> thm -> thm
val DEDUCT_ANTISYM_RULE : thm -> thm -> thm
val INST_TYPE : (hol_type * hol_type) list -> thm -> thm
val INST : (term * term) list -> thm -> thm
```



## Step 1: extract proofs out of HOL-Light

HOL-Light uses the **LCF approach**:

it records provability and not proofs

we need to **patch** it to export proofs (Obua 2005, Polu 2019):

```
type thm = Sequent of (term list * term * int)
                                (* theorem identifier *)

val REFL : term -> thm
val TRANS : thm -> thm -> thm
val MK_COMB : thm * thm -> thm
val ABS : term -> thm -> thm
val BETA : term -> thm
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val EQ_MP : thm -> thm -> thm
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val INST_TYPE : (hol_type * hol_type) list -> thm -> thm
val INST : (term * term) list -> thm -> thm
```

```
type proof = Proof of (thm * proof_content)
and proof_content =
| Prefl of term
| Ptrans of int * int
| ...
```

## Base HOL-Light library: hol.ml

```
loads "pair.ml";;          (* Theory of pairs
loads "compute.ml";;      (* General call-by-value reduction tool for terms
loads "nums.ml";;        (* Axiom of Infinity, definition of natural numbers
loads "recursion.ml";;   (* Tools for primitive recursion on inductive types
loads "arith.ml";;       (* Natural number arithmetic
loads "wf.ml";;          (* Theory of wellfounded relations
loads "calc_num.ml";;    (* Calculation with natural numbers
loads "normalizer.ml";;  (* Polynomial normalizer for rings and semirings
loads "grobner.ml";;     (* Groebner basis procedure for most semirings
loads "ind_types.ml";;   (* Tools for defining inductive types
loads "lists.ml";;       (* Theory of lists
loads "relax.ml";;       (* Definition of real numbers
loads "calc_int.ml";;    (* Calculation with integer-valued reals
loads "realarith.ml";;   (* Universal linear real decision procedure
loads "real.ml";;        (* Derived properties of reals
loads "calc_rat.ml";;    (* Calculation with rational-valued reals
loads "int.ml";;         (* Definition of integers
loads "sets.ml";;        (* Basic set theory
loads "iterate.ml";;     (* Iterated operations
loads "cart.ml";;        (* Finite Cartesian products
loads "define.ml";;      (* Support for general recursive definitions
```

## Step 2: simplify HOL-Light proofs

the number of generated proof steps can be reduced as follows:

- ▶ **instrument** connectives intro/elim rules &  $\alpha$ -equivalence (20%!)

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- ▶ **rewrite** proofs:

SYM(REFL(t))	$\hookrightarrow$	REFL(t)
SYM(SYM(p))	$\hookrightarrow$	p
TRANS(REFL(t),p)	$\hookrightarrow$	p
TRANS(p,REFL(t))	$\hookrightarrow$	p
CONJUNCT1(CONJ(p,-))	$\hookrightarrow$	p
CONJUNCT2(CONJ(-,p))	$\hookrightarrow$	p
MKCOMB(REFL(t),REFL(u))	$\hookrightarrow$	REFL(t(u))
EQMP(REFL(-),p)	$\hookrightarrow$	p

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$$\begin{aligned} \text{SYM}(\text{REFL}(t)) &\hookrightarrow \text{REFL}(t) \\ \text{SYM}(\text{SYM}(p)) &\hookrightarrow p \\ \text{TRANS}(\text{REFL}(t),p) &\hookrightarrow p \\ \text{TRANS}(p,\text{REFL}(t)) &\hookrightarrow p \\ \text{CONJUNCT1}(\text{CONJ}(p,-)) &\hookrightarrow p \\ \text{CONJUNCT2}(\text{CONJ}(-,p)) &\hookrightarrow p \\ \text{MKCOMB}(\text{REFL}(t),\text{REFL}(u)) &\hookrightarrow \text{REFL}(t(u)) \\ \text{EQMP}(\text{REFL}(-),p) &\hookrightarrow p \end{aligned}$$

- ▶ **remove** useless proof steps (because of tactic failures)

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- ▶ **remove** useless proof steps (because of tactic failures)

initial number of steps for hol.ml	with basic tactics instrumentation	and simplification and purge
14.3 M	8.6 M (-40%)	3.5 M (-76%)

hol.ml: theory of integers, lists, real numbers, etc.

## Step 3: represent HOL-Light terms and proofs in Lambdapi (Assaf & Burel, 2015)

```
/* Encoding of HOL-Light types as terms of type Set */  
constant symbol Set : TYPE;  
constant symbol bool : Set;  
constant symbol fun : Set → Set → Set;
```

## Step 3: represent HOL-Light terms and proofs in Lambdapi (Assaf & Burel, 2015)

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constant symbol Set : TYPE;  
constant symbol bool : Set;  
constant symbol fun : Set → Set → Set;
```

```
/* Interpretation of HOL-Light types as Lambdapi types */  
injective symbol El : Set → TYPE;  
rule El(fun $a $b) ↦ El $a → El $b;
```



## Step 3: represent HOL-Light terms and proofs in Lambdapi (Assaf & Burel, 2015)

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```

```
/* HOL-Light primitive constants */  
constant symbol = [A] : El(fun A (fun A bool));  
symbol ε [A] : El (fun (fun A bool) A);
```

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/* Encoding of HOL-Light types as terms of type Set */  
constant symbol Set : TYPE;  
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constant symbol fun : Set → Set → Set;
```

```
/* Interpretation of HOL-Light types as Lambdapi types */  
injective symbol El : Set → TYPE;  
rule El(fun $a $b) ↪ El $a → El $b;
```

```
/* HOL-Light primitive constants */  
constant symbol = [A] : El(fun A (fun A bool));  
symbol ε [A] : El (fun (fun A bool) A);
```

```
/* Interpretation of HOL-Light propositions as Lambdapi types  
(Curry-Howard correspondence to be defined) */  
injective symbol Prf : El bool → TYPE;
```

## Step 3: represent HOL-Light terms and proofs in Lambdapi (Assaf & Burel, 2015)

```
/* HOL-Light axioms and rules */  
symbol REFL [a] (t : El a) : Prf(= t t);  
symbol MK_COMB [a b] [s t : El(fun a b)] [u v : El a] :  
  Prf(= s t) → Prf(= u v) → Prf(= (s u) (t v));  
symbol EQ_MP [p q] : Prf(= p q) → Prf p → Prf q;  
symbol fun_ext [a b] [f g : El (fun a b)] :  
  (Π x, Prf (= (f x) (g x))) → Prf (= f g);  
symbol prop_ext [p q] :  
  (Prf p → Prf q) → (Prf q → Prf p) → Prf (= p q);
```

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  (Π x, Prf (= (f x) (g x))) → Prf (= f g);
symbol prop_ext [p q] :
  (Prf p → Prf q) → (Prf q → Prf p) → Prf (= p q);

/* HOL-Light derived connectives */
constant symbol ⇒ : El (fun bool (fun bool bool));
rule Prf(⇒ $p $q) ⇔ Prf $p → Prf $q;
constant symbol ∀ [A] : El (fun (fun A bool) bool);
rule Prf(∀ $p) ⇔ Π x, Prf($p x);
...

```

## Step 3: represent HOL-Light terms and proofs in Lambdapi (Assaf & Burel, 2015)

```
/* HOL-Light axioms and rules */
```

```
symbol REFL [a] (t : El a) : Prf(= t t);  
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symbol fun_ext [a b] [f g : El (fun a b)] :  
  (Π x, Prf (= (f x) (g x))) → Prf (= f g);  
symbol prop_ext [p q] :  
  (Prf p → Prf q) → (Prf q → Prf p) → Prf (= p q);
```

```
/* HOL-Light derived connectives */
```

```
constant symbol ⇒ : El (fun bool (fun bool bool));  
rule Prf(⇒ $p $q) ⇔ Prf $p → Prf $q;  
constant symbol ∀ [A] : El (fun (fun A bool) bool);  
rule Prf(∀ $p) ⇔ Π x, Prf($p x);  
...
```

```
/* Natural deduction rules */
```

```
symbol ∧i [p] : Prf p → Π[q], Prf q → Prf(∧ p q);  
symbol ∧e1 [p q] : Prf(∧ p q) → Prf p;  
symbol ∧e2 [p q] : Prf(∧ p q) → Prf q;  
symbol ∃i [a] (p : El a → El bool) t : Prf(p t) → Prf(∃ p);  
symbol ∃e [a] [p : El a → El bool] :  
  Prf(∃(λ x, p x)) → Π[r], (Π x:El a, Prf(p x) → Prf r) → Prf r;
```

## Step 4: from Lambdapi to Coq

the translation is purely syntactic:

- ▶ the symbols `El` and `Prf` are removed
- ▶ some symbols are replaced by Coq expr. wrt a user-defined map:

HOL-Light	Lambdapi	Coq
<code>hol_type</code>	<code>Set</code>	<code>{type:&gt;Type; el:type}</code>
<code>fun</code>	<code>arr</code>	<code>-&gt;</code>
<code>bool</code>	<code>bool</code>	<code>Prop</code>
<code>=</code>	<code>=</code>	<code>eq</code>
<code>Prefl</code>	<code>REFL</code>	<code>eq_refl</code>
<code>==&gt;</code>	<code>⇒</code>	<code>-&gt;</code>
<code>∧</code>	<code>∧</code>	<code>and</code>
<code>num</code>	<code>num</code>	<code>nat</code>
<code>+</code>	<code>+</code>	<code>add</code>
<code>&lt;=</code>	<code>&lt;=</code>	<code>le</code>
<code>...</code>	<code>...</code>	<code>...</code>

**example output:**

```
Lemma thm_DIV_MOD : forall m : nat, forall n : nat,  
  forall p : nat, (MOD (DIV m n) p) = (DIV (MOD m (mul n p)) n).
```

## Step 5: alignment of definitions

- ▶ One can give a name  $c$  to a term  $t$  of type  $A$  by adding:
  - a typed constant  $c:A$
  - an axiom  $c = t$

## Step 5: alignment of definitions

- ▶ One can give a name  $c$  to a term  $t$  of type  $A$  by adding:
    - a typed constant  $c:A$
    - an axiom  $c = t$
- to replace  $c$  by the Coq expression  $c'$ , we need to do in Coq:
- **prove**  $c' = t$



## Step 5: alignment of definitions

- ▶ One can give a name  $c$  to a term  $t$  of type  $A$  by adding:

- a typed constant  $c:A$
- an axiom  $c = t$

to replace  $c$  by the Coq expression  $c'$ , we need to do in Coq:

- **prove**  $c' = t$

- ▶ One can give a name  $B$  to a type isomorphic to the set of terms of type  $A$  satisfying some predicate  $p:A \rightarrow \text{bool}$  by adding:

- a type constant  $B$
- a proof of  $\exists a. p \ a$
- a typed constant  $\text{mk}:A \rightarrow B$
- a typed constant  $\text{dest}:B \rightarrow A$
- an axiom  $\forall b:B. \text{mk}(\text{dest } b) = b$
- an axiom  $\forall a:A. p \ a = (\text{dest}(\text{mk } a) = a)$

to replace  $B$  by the Coq expression  $B'$ , we need to do in Coq:

- **define**  $\text{mk}:A \rightarrow B'$

- **define**  $\text{dest}:B' \rightarrow A$

- **prove**  $\forall b:B', \text{mk}(\text{dest } b) = b$

- **prove**  $\forall a:A, p \ a = (\text{dest}(\text{mk } a) = a)$

## Alignments already proved

- ▶ **connectives**
- ▶ **unit** type
- ▶ **product** type constructor
- ▶ type of **natural numbers**, addition, subtraction, multiplication, division, power, ordering, min, max, mod, even, odd, ...
- ▶ **option** type constructor
- ▶ **sum** type constructor
- ▶ **list** type constructor, head, tail, concatenation, reverse, length, map, forall, membership, ... (thanks to Anthony Bordg)

and we are currently working on the type of **real** numbers

# HOL-Light library in Coq

**available on Opam:**

<https://github.com/deducteam/coq-hol-light/>

currently contains 667 lemmas on logic, arithmetic and lists mainly

**usage in Coq:**

```
Require Import HOLLight.hol_light.
```

# Axioms required in Coq

```
Axiom classic (P : Prop) : P  $\setminus$ /  $\sim$  P.
```

```
Axiom constructive_indefinite_description (A : Type) P :  
  (exists x, P x) -> {x : A | P x}.
```

```
Axiom fun_ext {A B: Type} {f g: A -> B}:  
  (forall x, f x = g x) -> f = g.
```

```
Axiom prop_ext {P Q : Prop} : (P -> Q) -> (Q -> P) -> P = Q.
```

```
Axiom proof_irrelevance (P:Prop) (p1 p2 : P) : p1 = p2.
```

## Performances

The translations (HOL-Light to Lambdapi, and Lambdapi to Coq) and the verification by Coq can be done **in parallel** by generating a Lambdapi/Coq file for each HOL-Light user-defined theorem

To scale up, we also need to **share** types and terms

On a machine with 32 processors i9-13950HX and 64Gb RAM:

HOL-Light file	dump-simp	dump size	proof steps	nb theorems
hol.ml	3m57s	3 Gb	5 M	5679
topology.ml	48m	52 Gb	52 M	18866

HOL-Light file	make -j32 lp	make -j32 v	v files size	make -j32 vo
hol.ml	51s	55s	1 Gb	18m4s
topology.ml	22m22s	20m16s	68 Gb	8h

## Tools: hol2dk and lambdapi

▶ <https://github.com/Deducteam/hol2dk>

– provides a small patch for HOL-Light to export proofs



improves ProofTrace [Polu 2019] by reducing memory consumption and adding on-the-fly writing on disk

– translates HOL-Light proofs to Dedukti and Lambdapi

▶ <https://github.com/Deducteam/lambdapi>

– allows to convert dk/lp files using some encodings of HOL into Coq files

# Conclusion

- ▶ interoperability theory/tools developed for 30 years now but few tools are really usable for lack of maintenance
- ▶ significant progresses have been done on genericity by using the  $\lambda\Pi$ -calculus modulo rewriting/Dedukti
- ▶ works well for medium-size developments with simple structures (integers, lists, ...) and automated theorem provers, e.g. integration of Lambdapi in TPTP World/GDV [Sutcliffe] 
- ▶ some people are skeptikal on the usability of translations on complex structures but some progress is ongoing, e.g. translation of type classes between Isabelle & Coq [Sacerdoti & Tassi] 
- ▶ improving scalability, modularity, usability and reproducibility are exciting research problems!