

Automated certification of termination proofs

Frédéric Blanqui

INRIA & LORIA

TYPES'07

Outline

Extensions of proof assistant kernels

CoLoR: a Coq Library on Rewriting and termination

Rainbow: a termination proof certifier

Proof assistant kernels

$$\text{(conv)} \quad \frac{\Gamma \vdash t : T \quad T \simeq_{\Gamma} T'}{\Gamma \vdash t : T'}$$

- ▶ type checking
- ▶ type equivalence checking
 - ⇒ \simeq_{Γ} must be decidable

Equivalence on types/propositions

bigger is \simeq_{Γ} :

- ▶ bigger can be the deduction steps
 - \Rightarrow closer are we to the mathematical practice
 - \Rightarrow less uninteresting technical lemmas are needed
 - \Rightarrow faster are developments
- ▶ smaller can be the proofs
 - \Rightarrow more proofs can be type-checked
- ▶ more terms can be typable
 - \Rightarrow easier are developments with dependent types
- ▶ closer are we to undecidability

Extensions of the Calculus of Constructions

\simeq_{Γ} decidable				\simeq_{Γ} not decidable	
β (CC)	β_{ι} (CIC)	βR (CAC)	CCIC	OCC	CC+ext
Coquand Huet [80]	Paulin [89] Altenkirch [93] Werner [94]	Barbanera [90] Fernández Geuvers [93] Barthe [98] B. Jouannaud Okada [99] B. [01-05]	Jouannaud B. Strub [05]	Stehr [02]	Hofmann [95] Oury [05]

The Calculus of Constructions with extensionality

Hofmann [95] Oury [05]

$$\text{(beta)} \quad \frac{T \downarrow_{\beta} U}{T \simeq_{\Gamma} U}$$

$$\text{(ext)} \quad \frac{\Gamma \vdash p : T = U}{T \simeq_{\Gamma} U}$$

The Open Calculus of Constructions (OCC)

Stehr [02]

type checking	$\Gamma \vdash t : T$	
type inference	$\Gamma \vdash t \rightarrow : T$	
computational equality	$\Gamma \vdash !!t = u$	(using rewriting)
structural equality	$\Gamma \vdash t = u$	(same term representation)
provability	$\Gamma \vdash ??T$	(using logic programming)

$$(\text{Red}) \quad \frac{\Gamma \vdash z \rightarrow : !!\forall x : V, P \Rightarrow t = u \quad \Gamma \vdash v : V \quad \Gamma \vdash ??P_x^v}{\Gamma \vdash !!(t = u)_x^v}$$

implemented in first-order rewriting logic (Maude)

The Calculus of Algebraic Constructions (CAC)

Barbanera [90] Fernández [93] B. Jouannaud Okada [99] B. [01-05] ...

taking $\simeq_{\Gamma} = \downarrow_{\beta R}$ with a set R of higher-order rewrite rules includes:

- ▶ CIC: ι -reduction is an orthogonal constructor-based HORS
- ▶ functions with non-structurally smaller recursive calls
- ▶ (non-linear) matching on various arguments at the same time
- ▶ matching on defined symbols: $x + (y + z) \rightarrow (x + y) + z$
- ▶ non-free data types: $s(p(x)) \rightarrow x$ and $p(s(x)) \rightarrow x$ (integers)
- ▶ decision procedures like the `ring` tactic in Coq by applying rules with matching modulo associativity and commutativity

prototype of Coq+R using CiME (SVN branch “recriture”, B. [03])

Termination of $\rightarrow_{\beta R}$?

- ▶ when R is any terminating first-order rewrite system
(Breazu-Tannen Okada [89] Barbanera [90] Dougherty [91])
- ▶ general schema / computability closure
(B. Jouannaud Okada [99] B. [01-05])
- ▶ HORPO
(Jouannaud Rubio [99] Walukiewicz [03])
- ▶ size-based termination
(Xi [01] Abel [03-06] Barthe+ [04-06] B. [04-05] B. Riba [06])
(prototype for CIC by Grégoire [05])

The Calculus of Congruent Constructions (CCC)

B. Jouannaud Strub [03]

\simeq_{Γ} includes the equational theory generated by the *closed* equational hypotheses of Γ :

$$h_1 : x = y + 2, h_2 : f(x) = g(x - 2) \vdash \text{refl_equal } f(x) : f(x) = g(y)$$

two possible implementations:

- ▶ using rewriting since, by *Knuth-Bendix completion*, equational hypotheses can be turned into a terminating confluent system
- ▶ using an external specialized tool for congruence closure

prototype implemented in Maude (Strub [03])

The Calculus of Congruent Inductive Constructions (CCIC)

B. Jouannaud Strub [05]

\simeq_{Γ} includes the equational theory generated by the *closed* equational hypotheses of Γ and *any Nelson-Oppen combination of stably infinite theories* (linear arithmetic, lists, arrays, etc.)

\Rightarrow implementation using an external specialized tool like haRVey, Ergo, Simplify, Omega, etc.

Going further ?

take into account *quantified* equational hypotheses present in Γ :

$N : \text{Type}, 0 : N, s : N \Rightarrow N, + : N \Rightarrow N \Rightarrow N,$

$+_0 : \forall x : N, x + 0 = x,$

$+_s : \forall xy : N, x + (sy) = s(x + y),$

$+_c : \forall xy : N, x + y = y + x,$

$+_a : \forall xyz : N, x + (y + z) = (x + y) + z, \dots$

$h_1 : x = y + 2, h_2 : f(x) = g(x - 2) \vdash \text{refl_equal } f(x) : f(x) = g(y)$

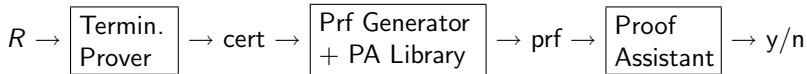
\Rightarrow application in the definition of functors

\Rightarrow requires *Knuth-Bendix completion*

Conclusion

replacing in kernels ι by R has many advantages but:

- ▶ how to check termination, confluence, etc. ?
 - ⇒ use available automated termination provers like TPA, AProVE, TTT, CiME, etc.
- ▶ how to be sure that these tools are correct ?
 - ⇒ certify their source code ?
difficult, long, must be done every time the code is changed
- ▶ make them provide a certificate and certify the certificates !
 - ⇒ certify termination criteria used by the tools
 - ⇒ provide tactics checking criteria conditions
 - ⇒ provide a program generating proofs from certificates



Outline

Extensions of proof assistant kernels

CoLoR: a Coq Library on Rewriting and termination

Rainbow: a termination proof certifier

CoLoR: a Coq Library on Rewriting and termination

- ▶ project started in March 2004, first release in March 2005
- ▶ contributors:
B., Coupet-Grimal, Delobel, Hinderer, Koprowski, Le Roux
- ▶ free download on <http://color.loria.fr/>
- ▶ publications: JFLA'06, WST'06, RTA'06

CoLoR content (1/2)

- ▶ Mathematical structures:
 - ▶ relations
 - ▶ semi-rings
- ▶ Data structures:
 - ▶ lists
 - ▶ vectors
 - ▶ integer polynomials with multiple variables
 - ▶ finite multisets
 - ▶ matrices
- ▶ Term structures:
 - ▶ algebraic terms with symbols of fixed arity
 - ▶ varyadic terms
 - ▶ simply typed lambda-terms

CoLoR content (2/2)

- ▶ Transformation techniques:
 - ▶ conversion from algebraic to varyadic terms
 - ▶ arguments filtering
 - ▶ rule elimination
 - ▶ dependency pairs
- ▶ Termination criteria:
 - ▶ polynomial interpretations
 - ▶ multiset ordering
 - ▶ recursive path ordering
 - ▶ higher-order recursive path ordering
 - ▶ dependency graph cycles
 - ▶ matrix interpretations

CoLoR figures

- ▶ 41,400 lines of Coq:
 - ▶ half of the size of Coq standard library
 - ▶ 5% of Coq contribs

- ▶ Mathematical structures: 10%
- ▶ Data structures: 29%
- ▶ Term structures: 44%
- ▶ Termination criteria: 17%

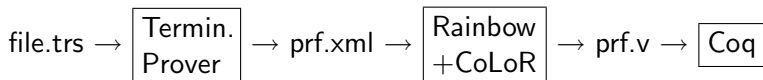
- ▶ 36 inductive types or predicates (**Inductive**)
- ▶ 115 recursive functions (**Fixpoint**)
- ▶ 533 non-recursive definitions (**Definition**)
- ▶ 2149 lemmas and theorems (**Lemma**)

Outline

Extensions of proof assistant kernels

CoLoR: a Coq Library on Rewriting and termination

Rainbow: a termination proof certifier



termination proof grammar:

```
proof = MANNA_NESS red_ord | DP proof | ...
```

```
red_ord = POLY_INT poly_int | RPO prec status
         | LEX red_ord+ | ...
```

```
poly_int = symbol polynom poly_int
```

```
polynom = ...
```

XML Schema for termination proofs

```
<complexType name="ReductionOrdering">
  <choice>
    <element name="rpo" type="prf:RPO"/>
    <element name="poly_int" type="prf:PolynomialInterpretation"/>
    <element name="lex" type="prf:LexicographicCombination"/>
  </choice>
</complexType>

<complexType name="Proof">
  <choice>
    <element name="manna_ness" type="prf:ReductionOrdering"/>
  </choice>
</complexType>

<element name="proof" type="prf:Proof"/>
```

Example of termination problem

```
<?xml version="1.0" encoding="ISO-8859-1"?>

<problem
  xmlns="urn:rainbow.problem.format"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xsi:schemaLocation="urn:rainbow.problem.format problem.xsd">

<algebra>
  <signature>
    <mapping><fun>plus</fun><arity>2</arity></mapping>
    <mapping><fun>succ</fun><arity>1</arity></mapping>
    <mapping><fun>zero</fun><arity>0</arity></mapping>
  </signature>
</algebra>

<theory/>
```

```
<strategy><none/></strategy>

<rules>
  <rule> <!-- plus zero v0 = v0 -->
    <lhs>
      <app>
        <fun>plus</fun>
        <arg><app><fun>zero</fun></app></arg>
        <arg><var>0</var></arg>
      </app>
    </lhs>
    <rhs>
      <var>0</var>
    </rhs>
  </rule>
  ...
</rules>

</problem>
```

Example of termination proof

```

<?xml version="1.0" encoding="ISO-8859-1"?>

<proof
  xmlns="urn:rainbow.proof.format"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
  xsi:schemaLocation="urn:rainbow.proof.format proof.xsd">

  <manna_ness>
    <poly_int>
      <mapping>
        <fun>plus</fun>
        <polynomial> <!-- 2.x_1 + 1.x_2 + 2 -->
          <monomial>
            <coef>2</coef>
            <var>1</var>
            <var>0</var>
          </monomial>

```

Coq file generated by Rainbow

```
Inductive symbol : Set :=  
  | plus : symbol  
  | succ : symbol  
  | zero : symbol.
```

```
Lemma eq_symbol_dec : forall f g : symbol, {f=g}+{~f=g}.
```

```
Proof. decide equality. Qed.
```

```
Definition arity (s : symbol) :=  
  match s with  
  | plus => 2  
  | succ => 1  
  | zero => 0  
end.
```

```
Require Import ASignature.
```

```
Definition sig := mkSignature arity eq_symbol_dec.
```

```
Definition S_plus x2 x1 := (@Fun sig plus (Vcons x2 (Vcons x1 Vnil))
```

```
Definition S_succ x1 := (@Fun sig succ (Vcons x1 Vnil)).
```

```
Definition S_zero := (@Fun sig zero Vnil).
```

```
Require Import ATrs.
```

```
Definition rules :=
```

```
  mkRule (S_plus S_zero (Var 0))
```

```
    (Var 0)
```

```
:: mkRule (S_plus (S_succ (Var 1)) (Var 0))
```

```
  (S_succ (S_plus (Var 1) (Var 0)))
```

```
:: nil.
```

```
Require Import Polynom.
```

```
Definition poly (f : symbol) : poly (arity f) :=  
  match f as f return poly (arity f) with  
  | plus => (2%Z, (Vcons 1 (Vcons 0 Vnil)))  
           :: (1%Z, (Vcons 0 (Vcons 1 Vnil)))  
           :: (2%Z, (Vcons 0 (Vcons 0 Vnil)))  
           :: nil  
  | succ => (1%Z, (Vcons 1 Vnil))  
           :: (1%Z, (Vcons 0 Vnil))  
           :: nil  
  | zero => (1%Z, Vnil)  
           :: nil  
end.
```

```
Require Import MonotonePolynom.
```

```
Lemma monotony : forall f, pmonotone (poly f).
```

```
Proof.
```

```
pmonotone.
```

```
Qed.
```

```
Require Import APolyInt.
```

```
Definition PI := mkPolyInterpretation sig poly monotony.
```

```
Lemma termination : wf (red rules).
```

```
Proof.
```

```
poly_int PI.
```

```
Qed.
```

CoLoR/PolyInt/APolyInt.v

```
(* check that, for all l->r in R, all the coefficients
of the polynomial [l]-[r]+1 are >= 0 *)
```

```
Lemma polyInterpretationTermination : forall R,
  lforall (fun lr => coef_pos (rulePoly_gt lr)) R -> WF (red R).
```

```
Proof. ... Qed.
```

```
Ltac poly_int PI :=
  match goal with
  |- WF (red ?R) =>
    apply (polyInterpretationTermination PI R);
    vm_compute; intuition; discriminate
  end.
```

Towards a certified termination competition

annual international termination competition (deadline May 21)

<http://www.lri.fr/~marche/termination-competition/>

categories: rewrite systems, logic programs, functional programs

new option this year: certified proofs !

in 2006, $3/11 = 27\%$ tools were discovered to be bugged

Current results

competition database: 1875 problems (some are open!)
in standard rewriting: 865

certified	TPA	19%	with polynomial interpretations
	+Rainbow	27%	with matrix interpretations
	+CoLoR	32%	with both
not certified	Jambox	62%	matrix interp + dependency pairs
	AProVE	73%	

TPA is developed by Adam Koprowski
<http://www.win.tue.nl/tpa/>

Related work

- ▶ A3PAT project for certifying CiME (Contejean [04])
- ▶ Isabelle/HOL termination checker (Bulwahn Krauss Nipkow [07])

Put CoLoR in your life ! :-)

`http://color.loria.fr/`

Contributions are welcome !